

Transport and the Environment Board

21 October 2021

Programme Approvals

Is the paper exempt from the press and public?	No
Purpose of this report:	Funding Decision
Is this a Key Decision?	Yes
Has it been included on the Forward Plan?	Yes

Director Approving Submission of the Report:
Gareth Sutton, Chief Finance Officer/s73 Officer

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Executive Summary

This paper requests: progression of 11 schemes with early release of development cost funding subject to conditions to be set out in the Assurance Summaries; release of development cost funding for 1 scheme; and approval of 2 project change requests. The paper also requests delegated authority to enter into necessary legal agreements for the schemes.

What does this mean for businesses, people and places in South Yorkshire?

This report is seeking approval to progress business cases and enter into contract for a number of investment proposals which will support the SYMCA's aspirations.

Recommendations

The Board consider and approve –

1. Progression of “T17 Doncaster Station to College” to full approval and award of £0.41m grant from Transforming Cities Fund (TCF2) to Doncaster Metropolitan Borough Council (DMBC) subject to the conditions set out in the Assurance Summary attached at Appendix A1;

2. Progression of "O49 City Centre Cycle Hub" to full approval and award of £0.30m grant from Active Travel 2/Gainshare (ATF2/Gainshare) to Sheffield City Council (SCC) subject to the conditions set out in the Assurance Summary attached at Appendix A2;
3. Progression of "D29 Bus Shelters" to full approval and award of £1.11m grant from Gainshare to South Yorkshire Passenger Transport Executive (SYPTTE) subject to the conditions set out in the Assurance Summary attached Appendix A3;
4. Progression of "D30 Passenger Information Displays" to full approval and award of £0.67m grant from Gainshare to South Yorkshire Passenger Transport Executive (SYPTTE) subject to the conditions set out in the Assurance Summary attached Appendix A4;
5. Progression of "T13 A630 Bus Improvements" Outline Business Case (OBC) to Full Business Case (FBC) and the release of development cost funding of up to £0.27m from TCF2 to SYPTTE subject to the conditions set out in the Assurance Summary attached Appendix B1;
6. Progression of "T10 Barnsley Station Access Improvements" OBC to proceed to FBC and the release of development cost funding up to £0.19m from TCF2 to Barnsley Metropolitan Borough Council (BMBC) subject to the conditions set out in the Assurance Summary attached at Appendix B2;
7. Progression of "T17/3 Bennethorpe to Hallgate Cycleways" OBC to proceed to FBC and the release of development cost funding up to £0.05m from TCF2 to DMBC subject to the conditions set out in the Assurance Summary attached at Appendix B3;
8. Progression of "O50 Netheredge Crookes" OBC to proceed to FBC and release of development cost funding up to £0.06m from ATF2/Gainshare to SCC subject to the conditions set out in the Assurance Summary attached at Appendix B4;
9. Progression of "T14 West of Doncaster Active Travel" OBC to MCA for approval to proceed to FBC and release of development cost funding up to £0.05m from TCF2 to DMBC subject to the conditions set out in the Assurance Summary attached at Appendix B5;
10. Progression of "O50 Sheaf Valley Route" OBC to MCA for approval to proceed to FBC and release of development cost funding up to £0.05m from ATF2/Gainshare to SCC subject to the conditions set out in the Assurance Summary attached at Appendix B6;
11. Progression of "T8/1&3 City Centre to Attercliffe and Darnall Active Travel" OBC to MCA for approval to proceed to FBC and release of development cost funding up to £1.2m from TCF2 to SCC subject to the conditions set out in the Assurance Summary attached at Appendix B7;
12. Release of development cost funding of £0.08m for "O45 Thorne and Moorends" from ATF2/Gainshare to DMBC in line with the details attached at Appendix C;
- 13.2 Project change requests as detailed in Appendix D;
14. Delegated authority be given to the Head of Paid Service in consultation with the Section 73 and Monitoring Officer to enter into legal agreements for the schemes covered above and enter into the necessary legal grant agreements.

Consideration by any other Board, Committee, Assurance or Advisory Panel

Assurance Panel	01 September 2021
Assurance Panel	15 September 2021
Assurance Panel	01 October 2021
Assurance Panel	11 October 2021

1. Background

1.1 This report seeks approval for the progression of schemes funded from multiple funding streams. The paper also requests delegated authority to enter into legal agreements for the named schemes.

1.2 Progression of schemes to full approval and award of funding

The paper is seeking progression to full approval and award of funding for 4 projects which are detailed in Appendix A. The total amount of funding requested is £0.71m grant; £0.41m from the Transforming Cities Fund 2 (TCF2), £0.30m from the Active Travel Fund 2/Gainshare (ATF2/Gainshare) and £1.77m from Gainshare. The projects are located across South Yorkshire. The assurance summaries include conditions of funding which must be met before contract execution.

Full details of the schemes and risks are included in Appendix A.

1.3 Progression of schemes to from OBC to FBC with release of development costs

The paper is seeking progression from OBC to FBC with the release of development costs for 7 projects which are detailed in Appendix B. The total amount of funding requested is £1.87m grant; £1.76m from the TCF2 and £0.11m from the ATF2/Gainshare. The projects are located within Barnsley, Sheffield and Doncaster. The assurance summaries include conditions of funding which must be met before contract execution.

Full details of the schemes and risks are included in Appendix B.

1.4 Approval to release development costs

The paper is seeking release of development costs to aid public consultation for 1 project which is detailed in Appendix C. The total amount of funding is £0.08m grant and is funded from ATF2/Gainshare. The project is located within Doncaster and the consultation will aid the scale and scope of the project.

Full details of the scheme and risks are included in Appendix C.

1.5 Project Change Control

In recognition of unforeseen circumstances that can arise during the project delivery phase, the approved Assurance Framework establishes a formal process for the acceptance of change requests. These change requests could be financial, requiring reprofiling of funds, or could be to amend deliverables or timescales. Details of the 2 change requests can be found in Appendix D.

2. Key Issues

2.1 Not applicable.

3. Options Considered and Recommended Proposal

3.1 **Option 1**

Do not approve the recommendations in this report.

3.4 **Option 1 Risks and Mitigations**

Inability to approve the projects presented or release development costs may result in a slower pace of delivery and loss of activity/spend to the programmes.

3.5 **Option 2**

Award projects a smaller amount of grant funding.

3.8 **Option 2 Risks and Mitigations**

All funding awards associated with the projects have been fully appraised in line with the SYMCA Assurance Framework to ensure value for money. Funding for these projects is timebound by the funding bodies and any deliverability issues will be managed via alternative funding sources.

3.9 **Option 3**

Approve all recommendations

3.12 **Option 3 Risks and Mitigations**

By approving the recommendations, the available programme funding will reduce with funding beyond the original allocations secured from alternative funding sources. However, the projects were included in the bids submitted to the funding bodies and/or are considered a strong strategic fit in line with investment aims.

3.13 **Recommended Option**

Option 3

4. **Consultation on Proposal**

4.1 Once a project has been accepted onto a programme pipeline, the Value for Money Statement is published on the SYMCA website alongside a summary of the activity. This is updated periodically to include links to the key documents for each project and a record of progress. The SYMCA Executive Team collects any external comments on these schemes, and these are considered as part of the appraisal process. Project sponsors are also required to publish business cases on their own websites (or an appropriate summary of the submission) and must consider all comments received and reflect this in the next stages of the application process.

5. **Timetable and Accountability for Implementing this Decision**

5.1 Subject to the approval of the recommendations, the Head of Paid Service in consultation with the Section 73 Officer and Monitoring Officer will progress to enter into legal agreements with each promoter.

5.2 The promoter is responsible for the further development of projects that have gateway approval to the next stage of the SYMCA Assurance process.

6. **Financial and Procurement Implications and Advice**

- 6.1 The projects presented for approval today are profiled to drawdown up to £2.17m from the TCF2 allocation of £166.3m, up to £0.49m from the ATF2 allocation of £7.70m and up to £1.77m from the Gainshare allocation of £3.17m.

7. Legal Implications and Advice

- 7.1 The legal implications of the projects have been fully considered by a representative of the Monitoring Officer and included in the recommendations agreed within the Assurance Summaries as presented in the Appendices.
- 7.2 Prior to awarding the grants, the SYMCA shall ensure contracts are put in place to ensure the recipients comply with the grant conditions.

8. Human Resources Implications and Advice

- 8.1 Not applicable.

9. Equality and Diversity Implications and Advice

- 9.1 Appropriate equality and diversity considerations are taken into account as part of the assurance of the project business cases.

10. Climate Change Implications and Advice

- 10.1 A number of the programmes include new and/or enhanced active travel initiatives and improved infrastructure availability thereby shifting private vehicle use to more sustainable modes of transport. This aims to deliver huge benefits for health and the prosperity of cities, positively contributing to the SYMCA's climate change aspirations.

11. Information and Communication Technology Implications and Advice

- 11.1 Not applicable.

12. Communications and Marketing Implications and Advice

- 12.1 The approvals provide positive opportunities to highlight the difference the SYMCA's investments will make to people and passengers, businesses and places across South Yorkshire and how Members are taking action to support the region's recovery from COVID.

List of Appendices Included

- A Progression of schemes to full approval and award of funding
A1 Assurance Summary T17-2 Doncaster Station to College (TCF2 FBC)
A2 Assurance Summary O49 Cycle Hub (ATF2Gainshare FBC)
A3 Assurance Summary D29 Bus Shelters (Gainshare FBC)
A4 Assurance Summary D30 Passenger Information Displays (Gainshare FBC)
B Progression of schemes from OBC to FBC and release of development cost funding
B1 Assurance Summary T13 A630 Bus Improvements (TCF2 OBC)
B2 Assurance Summary T10 Barnsley Station Access Improvements (TCF2 OBC)
B3 Assurance Summary T17-3 Bennethorpe to Hallgate Cycleways (TCF2 OBC)

- B4 Assurance Summary O48 Netheredge Crookes (ATF2Gainsahre OBC)
- B5 Assurance Summary T14 West of Doncaster Active Travel (TCF2 OBC)
- B6 Assurance Summary O50 Sheaf Valley Route (ATF2Gainshare OBC)
- B7 Assurance Summary T8-1&3 City Centre to Attercliffe and Darnall (TCF2 OBC)
- C Approval to release development costs
- D Change Control